

**AMENDMENTS**Amendments to the Claims

Please amend the claims according to the following listing of the claims.

Listing of the claims

1-15. (canceled)

16. (currently amended) A composition for the treatment of metal surfaces, containing

a) at least one polymer as component A, composed of

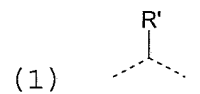
a ~~the~~ structural element (1),

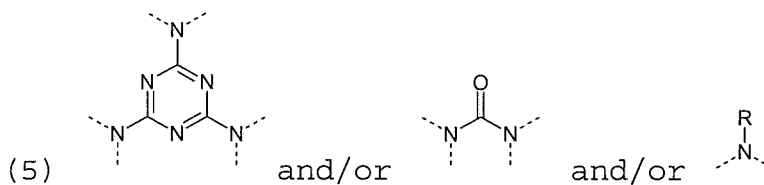
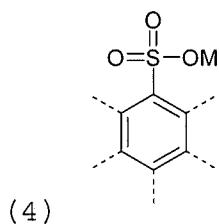
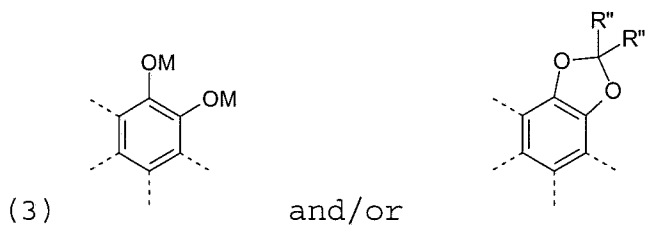
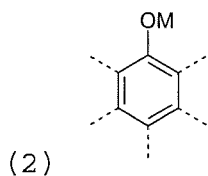


~~and~~ at least three structural elements selected from the group consisting of structural elements (2), (4), and (5),

and optionally further structural element(s) (3),

wherein the structural elements 1 - 5 are represented by the following formulas





where

in structural element (1),  $R'$  is hydrogen or an alkyl, cycloalkyl, aryl, aralkyl or alkaryl radical of less than 31 carbon atoms which may be unsubstituted or substituted by alkyl radicals or heteroatom-containing groups or may be interrupted by heteroatoms or may contain double bonds;

in structural element (3),  $R''$  and  $R'''$  are any

desired radicals having a molecular weight of < 200 g/mol,

in structural elements (2), (3) and (4), M, in each case independently of one another, are hydrogen or a cation, and

in structural element (5), R is hydrogen or an alkyl, cycloalkyl, aryl, aralkyl or alkaryl radical which may be unsubstituted or substituted by alkyl radicals or heteroatom-containing groups or may be interrupted by heteroatoms;

- b) water or another solvent which is suitable for dissolving, dispersing, suspending or emulsifying the polymer (component A), as component B;
- c) optionally, surface-active compounds, dispersants, suspending media and/or emulsifiers, as component C,
- d) at least one salt, one acid or one base based on transition metal cations, transition metal oxo anions, fluorometallates or lanthanoids, as component D,

and/or

- e) at least one acid selected from the group consisting of phosphoric acid, sulfuric acid, sulfonic acids, nitric acid, hydrofluoric acid and hydrochloric acid, as component E,

and/or

f) at least one further corrosion inhibitor as  
component F,

and/or

g) compounds of Ce, Ni, Co, V, Fe, Zn, Zr, Ca, Mn,  
Mo, W, Cr and/or Bi as component G,

and/or

h) further assistants and additives as component H,

wherein the polymer (component compound A) is prepared by polycondensation, wherein the following components are reacted with one another:

- (1) at least one aldehyde as component Aa,
- (2) at least one aromatic compound which carries at least one OM group or one sulfo group, -SO<sub>2</sub>OM, or both groups, as component Ab,

~~and at least one of the constituents (3) and (4),~~

~~wherein~~

- (3) optionally at least one compound selected from diphenols or polyphenols having vicinal OM groups, it being possible, if required, for the vicinal OH groups to be protected as acetal or ketal, as component Ac, and
- (4) at least one amino compound as component Ad,

and where, in components Ab and Ac, M, in each case

independently of one another, are hydrogen or a cation, or a divalent or polyvalent cation, if negative charges sufficient for compensation are present, ~~and said composition containing, in addition to components A, B and, if required, C,~~

~~d) at least one salt, one acid or one base based on transition metal cations, transition metal oxo anions, fluorometallates or lanthanoids, as component D, and/or~~

~~e) at least one acid selected from the group consisting of phosphoric acid, sulfuric acid, sulfonic acids, nitric acid, hydrofluoric acid and hydrochloric acid, as component E, and/or~~

~~f) at least one further corrosion inhibitor as component F, and/or~~

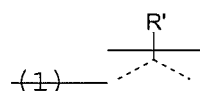
~~g) compounds of Ce, Ni, Co, V, Fe, Zn, Zr, Ca, Mn, Mo, W, Cr and/or Bi as component G, and/or~~

~~h) further assistants and additives as component H.~~

17. (currently amended) A composition for the treatment of metal surfaces, containing

a) at least one polymer as component A, composed of

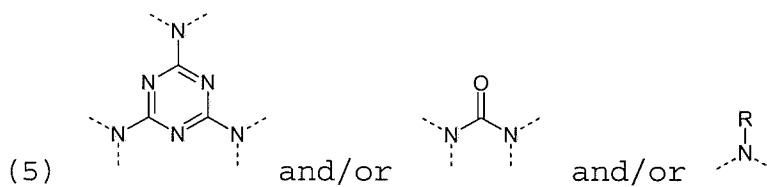
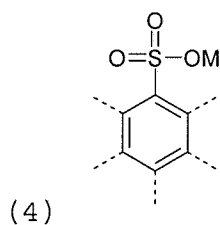
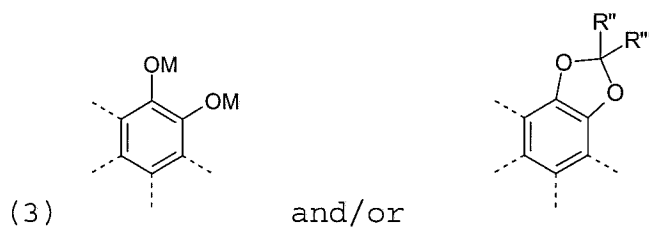
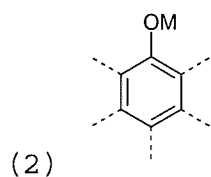
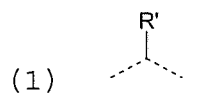
a the structural element (1),



~~and at least three structural elements selected from the group consisting of structural elements (2), (4), and (5),~~

and optionally further structural element(s) (3),

wherein the structural elements 1 - 5 are  
represented by the following formulas



where

in structural element (1), R' is hydrogen or an alkyl, cycloalkyl, aryl, aralkyl or alkaryl

radical of less than 31 carbon atoms which may be unsubstituted or substituted by alkyl radicals or heteroatom-containing groups or may be interrupted by heteroatoms or may contain double bonds;

in structural element (3),  $R''$  and  $R'''$  are any desired radicals having a molecular weight of < 200 g/mol,

in structural elements (2), (3) and (4),  $M$ , in each case independently of one another, are hydrogen or a cation, and

in structural element (5),  $R$  is hydrogen or an alkyl, cycloalkyl, aryl, aralkyl or alkaryl radical which may be unsubstituted or substituted by alkyl radicals or heteroatom-containing groups or may be interrupted by heteroatoms;

- b) water or another solvent which is suitable for dissolving, dispersing, suspending or emulsifying the polymer (component A), as component B;
- c) optionally, surface-active compounds, dispersants, suspending media and/or emulsifiers, as component C,
  - i) at least one metal oxide and/or metal salt as component I,
  - j) if required, at least one complexing agent as

component J,

k) if required, at least one acid or one alkali metal salt or alkaline earth metal salt of the corresponding acid as component K,

l) if required, further additives as component L,

wherein the polymer (~~component compound~~ A) is prepared by polycondensation, wherein the following components are reacted with one another:

- (1) at least one aldehyde as component Aa,
- (2) at least one aromatic compound which carries at least one OM group or one sulfo group, -SO<sub>2</sub>OM, or both groups, as component Ab,

~~and at least one of the constituents (3) and (4),~~  
wherein

- (3) optionally at least on compound selected from diphenols or polyphenols having vicinal OM groups, it being possible, if required, for the vicinal OH groups to be protected as acetal or ketal, as component Ac, and
- (4) at least one amino compound as component Ad,

and where, in components Ab and Ac, M, in each case independently of one another, are hydrogen or a cation, or a divalent or polyvalent cation, if negative charges sufficient for compensation are present, ~~and said composition containing, in addition to components A, B and, if required, C:~~

~~i) at least one metal oxide and/or metal salt as component I,~~



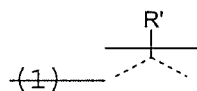
- ~~j) if required, at least one complexing agent as component J,~~  
~~k) if required, at least one acid or one alkali metal salt or alkaline earth metal salt of the corresponding acid as component K,~~  
~~l) if required, further additives as component L.~~

18. (canceled)

19. (currently amended) A process for the treatment of a metal surface, wherein the metal surface is brought into contact with a composition for the treatment of metal surfaces, containing

- a) at least one polymer as component A, composed of

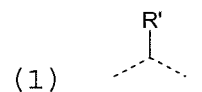
~~a the structural element (1),~~

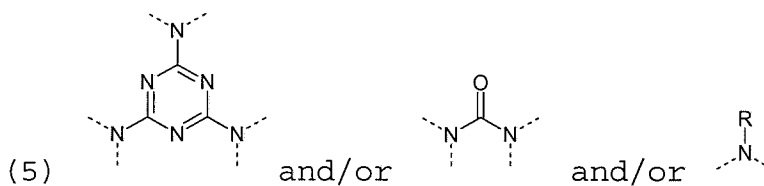
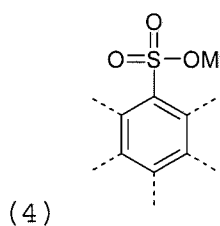
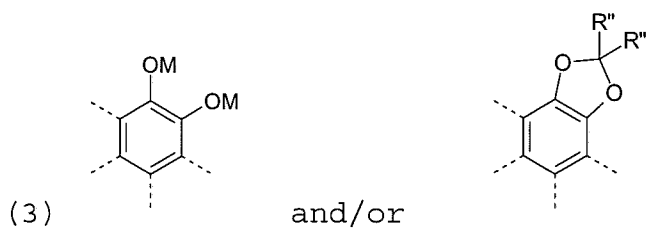
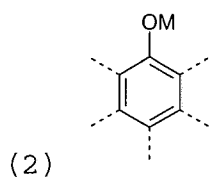


~~and at least three structural elements selected from the group consisting of structural elements (2), (4), and (5),~~

~~and optionally further structural element(s) (3),~~

~~wherein the structural elements 1 - 5 are represented by the following formulas~~





where

in structural element (1),  $R'$  is hydrogen or an alkyl, cycloalkyl, aryl, aralkyl or alkaryl radical of less than 31 carbon atoms which may be unsubstituted or substituted by alkyl radicals or heteroatom-containing groups or may be interrupted by heteroatoms or may contain double bonds;

in structural element (3),  $R''$  and  $R'''$  are any desired radicals having a molecular weight of  $< 200$  g/mol,

in structural elements (2), (3) and (4),  $M$ , in each case independently of one another, are hydrogen or a cation, and

in structural element (5),  $R$  is hydrogen or an alkyl, cycloalkyl, aryl, aralkyl or alkaryl radical which may be unsubstituted or substituted by alkyl radicals or heteroatom-containing groups or may be interrupted by heteroatoms;

- b) water or another solvent which is suitable for dissolving, dispersing, suspending or emulsifying the polymer (component A), as component B;
- c) optionally, surface-active compounds, dispersants, suspending media and/or emulsifiers, as component C,

wherein the polymer (component compound A) is prepared by polycondensation, wherein the following components are reacted with one another:

- (1) at least one aldehyde as component Aa,
- (2) at least one aromatic compound which carries at least one OM group or one sulfo group, -SO<sub>2</sub>OM, or both groups, as component Ab,

~~and at least one of the constituents (3) and (4),~~  
wherein

- (3) optionally at least one compound selected

from diphenols or polyphenols having vicinal OM groups, it being possible, if required, for the vicinal OH groups to be protected as acetal or ketal, as component Ac, and  
(4) at least one amino compound as component Ad,

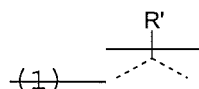
and where, in components Ab and Ac, M, in each case independently of one another, are hydrogen or a cation, or a divalent or polyvalent cation, if negative charges sufficient for compensation are present.

20. (previously presented) A process as claimed in claim 19, comprising the steps:
- a) if required, cleaning of the metal surface for removing oils, greases and dirt,
  - b) if required, washing with water,
  - c) if required, pickling in order to remove rust or other oxides, in the presence or absence of the polymer (component A) used according to the invention,
  - d) if required, washing with water,
  - e) treatment of the metal surface in the presence of the polymer (component A) used according to the invention,
  - f) if required, washing with water,
  - g) if required, aftertreatment, in the presence or absence of the polymer (component A) used according to the invention.
21. (currently amended) A process for the treatment of a metal surface, wherein the metal surface is brought

into contact with a composition for the deposition of metals or metal alloys on plastics surfaces, containing:

a) at least one polymer as component A, composed of

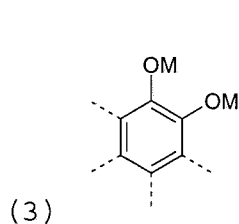
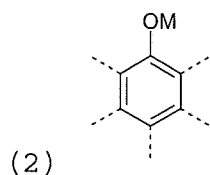
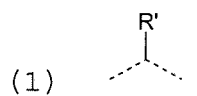
a the structural element (1),



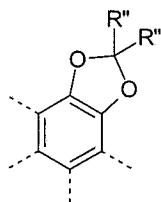
and at least three structural elements selected from the group consisting of structural elements (2), (4), and (5),

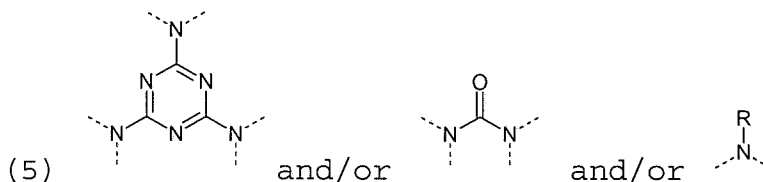
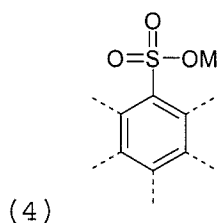
and optionally further structural element(s) (3),

wherein the structural elements 1 - 5 are represented by the following formulas



and/or





where

in structural element (1),  $R'$  is hydrogen or an alkyl, cycloalkyl, aryl, aralkyl or alkaryl radical of less than 31 carbon atoms which may be unsubstituted or substituted by alkyl radicals or heteroatom-containing groups or may be interrupted by heteroatoms or may contain double bonds;

in structural element (3),  $R''$  and  $R'''$  are any desired radicals having a molecular weight of < 200 g/mol,

in structural elements (2), (3) and (4),  $M$ , in each case independently of one another, are hydrogen or a cation, and

in structural element (5),  $R$  is hydrogen or an alkyl, cycloalkyl, aryl, aralkyl or alkaryl

radical which may be unsubstituted or substituted by alkyl radicals or heteroatom-containing groups or may be interrupted by heteroatoms;

- b) water or another solvent which is suitable for dissolving, dispersing, suspending or emulsifying the polymer (component A), as component B;
- c) optionally, surface-active compounds, dispersants, suspending media and/or emulsifiers, as component C,

wherein the polymer (component ~~compound~~ A) is prepared by polycondensation, wherein the following components are reacted with one another:

- (1) at least one aldehyde as component Aa,
- (2) at least one aromatic compound which carries at least one OM group or one sulfo group, -SO<sub>2</sub>OM, or both groups, as component Ab,

~~and at least one of the constituents (3) and (4),~~  
wherein

- (3) optionally at least one compound selected from diphenols or polyphenols having vicinal OM groups, it being possible, if required, for the vicinal OH groups to be protected as acetal or ketal, as component Ac, and
- (4) at least one amino compound as component Ad,

and where, in components Ab and Ac, M, in each case independently of one another, are hydrogen or a cation, or a divalent or polyvalent cation, if negative charges sufficient for compensation are

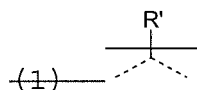
present.

22. (canceled)

23. (currently amended) A process for the deposition of metals or metal alloys on a plastics surface, wherein the plastics surface is brought into contact with a composition for the deposition of metals or metal alloys on plastics surfaces, containing:

a) at least one polymer as component A, composed of

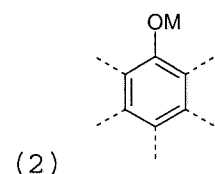
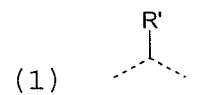
a the structural element (1),



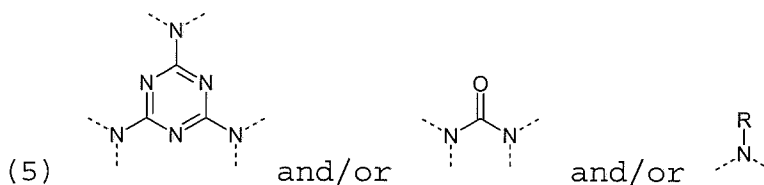
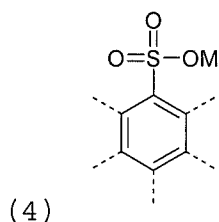
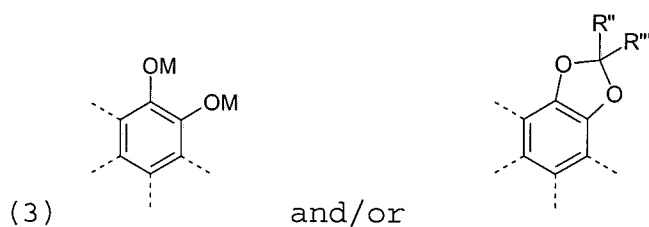
~~and~~ at least three structural elements selected from the group consisting of structural elements (2), (4), and (5),

and optionally further structural element(s) (3),

wherein the structural elements 1 - 5 are represented by the following formulas







where

in structural element (1),  $R'$  is hydrogen or an alkyl, cycloalkyl, aryl, aralkyl or alkaryl radical of less than 31 carbon atoms which may be unsubstituted or substituted by alkyl radicals or heteroatom-containing groups or may be interrupted by heteroatoms or may contain double bonds;

in structural element (3),  $R''$  and  $R'''$  are any desired radicals having a molecular weight of < 200 g/mol,

in structural elements (2), (3) and (4), M, in each case independently of one another, are hydrogen or a cation, and

in structural element (5), R is hydrogen or an alkyl, cycloalkyl, aryl, aralkyl or alkaryl radical which may be unsubstituted or substituted by alkyl radicals or heteroatom-containing groups or may be interrupted by heteroatoms;

- b) water or another solvent which is suitable for dissolving, dispersing, suspending or emulsifying the polymer (component A), as component B;
- c) optionally, surface-active compounds, dispersants, suspending media and/or emulsifiers, as component C,

wherein the polymer (component compound A) is prepared by polycondensation, wherein the following components are reacted with one another:

- (1) at least one aldehyde as component Aa,
- (2) at least one aromatic compound which carries at least one OM group or one sulfo group, -SO<sub>2</sub>OM, or both groups, as component Ab,

~~and at least one of the constituents (3) and (4),~~  
wherein

- (3) optionally at least one compound selected from diphenols or polyphenols having vicinal OM groups, it being possible, if required, for the vicinal OH groups to be protected as acetal or ketal, as component Ac, and

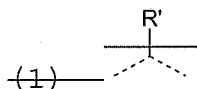
(4) at least one amino compound as component Ad,

and where, in components Ab and Ac, M, in each case independently of one another, are hydrogen or a cation, or a divalent or polyvalent cation, if negative charges sufficient for compensation are present.

24. (previously presented) A process as claimed in claim 21, wherein a chemical or electrochemical metal deposition is carried out.
25. (currently amended) A composition for the deposition of metals or metal alloys on plastics surfaces, containing:

a) at least one polymer as component A, composed of

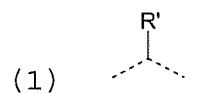
a the structural element (1),

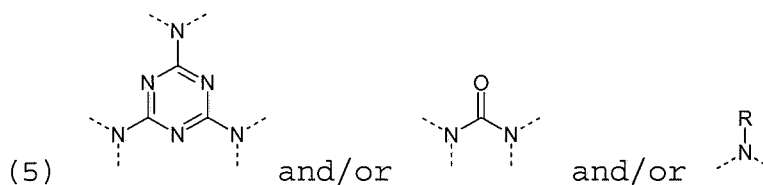
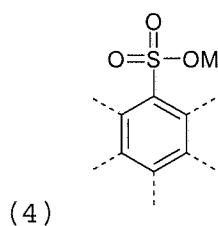
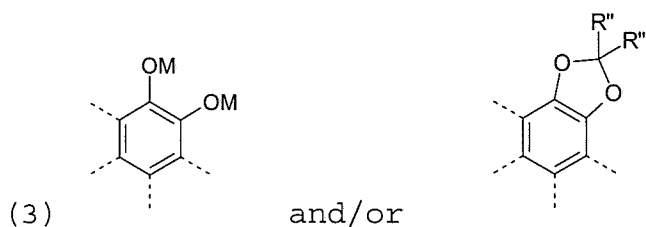
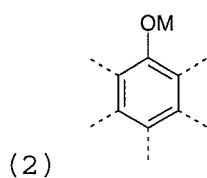


and at least three structural elements selected from the group consisting of structural elements (2), (4), and (5),

and optionally further structural element(s) (3),

wherein the structural elements 1 - 5 are represented by the following formulas





where

in structural element (1),  $R'$  is hydrogen or an alkyl, cycloalkyl, aryl, aralkyl or alkaryl radical of less than 31 carbon atoms which may be unsubstituted or substituted by alkyl radicals or heteroatom-containing groups or may be interrupted by heteroatoms or may contain double bonds;

in structural element (3),  $R''$  and  $R'''$  are any

desired radicals having a molecular weight of < 200 g/mol,

in structural elements (2), (3) and (4),  $\underline{\hspace{0.5em}}$  M, in each case independently of one another, are hydrogen or a cation, and

in structural element (5),  $\underline{\hspace{0.5em}}$  R is hydrogen or an alkyl, cycloalkyl, aryl, aralkyl or alkaryl radical which may be unsubstituted or substituted by alkyl radicals or heteroatom-containing groups or may be interrupted by heteroatoms;

- b) water or another solvent which is suitable for dissolving, dispersing, suspending or emulsifying the polymer (component A), as component B;
- c) optionally, surface-active compounds, dispersants, suspending media and/or emulsifiers, as component C,
  - i) at least one metal oxide and/or metal salt as component I,
  - j) if required, at least one complexing agent as component J,
  - k) if required, at least one acid or one alkali metal salt or alkaline earth metal salt of the corresponding acid as component K,
  - l) if required, further additives as component L,

wherein the polymer (component compound A) is prepared by polycondensation, wherein the following components are reacted with one another:

- (1) at least one aldehyde as component Aa,
- (2) at least one aromatic compound which carries at least one OM group or one sulfo group, -SO<sub>2</sub>OM, or both groups, as component Ab,

~~and at least one of the constituents (3) and (4),~~  
wherein

- (3) optionally at least on compound selected from diphenols or polyphenols having vicinal OM groups, it being possible, if required, for the vicinal OH groups to be protected as acetal or ketal, as component Ac, and
- (4) at least one amino compound as component Ad,

and where, in components Ab and Ac, M, in each case independently of one another, are hydrogen or a cation, or a divalent or polyvalent cation, if negative charges sufficient for compensation are present, ~~and said composition containing, in addition to components A, B and, if required, C:~~

- ~~i) at least one metal oxide and/or metal salt as component I,~~
- ~~j) if required, at least one complexing agent as component J,~~
- ~~k) if required, at least one acid or one alkali metal salt or alkaline earth metal salt of the corresponding acid as component K,~~
- ~~l) if required, further additives as component L.~~